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PATENT
Docket No. H 3170 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Hollenberg, et al.

International Application No. PCT/EP00/06159
International Filing Date: July 1, 2000

Serial No. To be assigned
Filed: To be assigned

Examiner: To be assigned
Art Unit: To be assigned

TITLE: NOVEL DYES AND COLORANTS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

ATTN: DO/EO/US

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants disclose herewith the documents listed on the enclosed IDC form PTO-1449. In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document listed is enclosed. Applicants respectfully request that the Examiner consider the documents and evidence that consideration by initialing the IDC form next to each listed document.

Where a translation has not been provided for a non-English document, the relevance is explained in: (a) this application, (b) the enclosed English language search report issued by the European Patent Office in the international stage of this application (PCT/EP00/06159), and/or (c) an English language equivalent identified in parentheses in the "Country" column under Foreign Patent Documents of the enclosed form PTO-1449. It is noted that any English equivalent listed in the "Country" column under Foreign Patent Documents, is not intended to serve as a translation for the foreign patent document, but is being submitted to provide the Examiner with patent family information and to explain the relevance of the foreign patent document.

Intl. Appln. No. PCT/EP00/06159
Hollenberg, et al.

Docket No. H 3170 PCT/US

This Statement does not represent that a search was made or that no better art exists and does not constitute an admission that the listed documents are material or constitute prior art. Therefore applicants reserve the right to present to the Examiner the relevant facts and law regarding the status of each listed document. Applicants further reserve the right to take appropriate action to establish patentability of the claimed invention over the listed documents, should one or more of them be applied against the claims.

This statement is being submitted within three months of entering, as set forth in 37 C.F.R. § 1.491, the National Stage of the above identified application, and therefore no fee for submitting this IDS is believed to be due. However, the Commissioner is hereby authorized to charge Deposit Account No. 01-1250 if any fee under 37 C.F.R. §1.17 is deemed necessary for the accompanying references to be considered by the Patent and Trademark Office. This Statement is provided in duplicate.

Respectfully submitted,

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Enclosures:

1. PTO Form 1449 w/references
2. International Search Report

Form PTO-1449	Docket No: H3170	Int'l App. No: PCT/EP00/06159
INFORMATION DISCLOSURE CITATION	Applicant: Hollenberg et al.	
	Filing Date:	Group:

US PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Sub- class	Filing Date if Approp.
	AA	3,817,698	6/18/74	Kalopissis et al.	8	10.1	
	AB	3,821,200	6/28/74	Stingl	260	239.7	
	AC	3,926,946	12/16/75	Ridyard	260	206	
	AD	6,179,882	1/30/01	Vidal et al.	8	409	
	AE	6,165,229	12/26/00	Vidal et al.	8	409	
	AF	6,210,447	4/3/01	Vidal et al.	8	409	
	AG	6,139,589	10/31/00	Vidal et al.	8	409	
	AH	6,099,592	8/8/00	Vidal et al.	8	409	
	AI	5,534,267	7/9/96	Neunhoeffler et al.	424	701	
	AJ	3,861,868	1/21/75	Milbrada	8	10.2	
	AK	4,865,774	9/12/89	Fabry et al.	252	554	
	AL	4,931,218	6/5/90	Schenker et al.	252	551	
	AM	5,294,726	3/15/94	Behler et al.	554	98	

FOREIGN PATENT DOCUMENTS

Examiner Initial *		Document Number	Date	Country	Class	Sub- Class	English Translati on	
							Yes	No
	AN	15 69 819	10/1/70	Germany (US 3,817,698)				X
	AO	2 456 764	12/12/80	France				X
	AP	WO99/20234	4/29/99	PCT				X
	AQ	2 282 456	3/19/76	France (GB 1,468,478)				X
	AR	1,468,478	3/30/77	Great Britain				
	AS	40 16 177	11/21/91	Germany			X	
	AT	19 01 500	9/4/69	Germany (US 3,821,200)				X
	AU	26 13 425	10/13/77	Germany				X

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	Filing Date:	Group:

	AV	1 083 459	6/15/60	Germany				X
	AW	2 156 250	5/25/73	France (US 3,926,946)				X
	AX	0 530 229	6/28/95	Europe			X	
	AY	15 69 820	11/5/70	Germany (GB 1,199,641)				X
	AZ	1,199,641	7/22/70	Great Britain				
	BA	WO97/35550	10/2/97	PCT (US 6,179,882)				X
	BB	WO97/35552	10/2/97	PCT (US 6,165,229)				X
	BC	WO97/35553	10/2/97	PCT (US 6,210,447)				X
	BD	WO98/08485	3/5/98	PCT				X
	BE	WO98/08486	3/5/98	PCT (US 6,139,589)				X
	BF	0 740 931	11/6/96	Europe (US 6,099,592)				X
	BG	WO94/08970	4/28/94	PCT (US 5,534,267)				X
	BH	22 15 303	10/12/72	Germany (US 3,861,868)				X
	BI	37 25 030	2/9/89	Germany (US 4,865,774)				X
	BJ	39 29 973	3/14/91	Germany (CA 2,066,226)			X	
	BK	2,066,226	3/9/91	Canada				
	BL	37 23 354	1/26/89	Germany (US 4,931,218)				X
	BM	39 26 344	2/28/91	Germanyn (US 5,294,726)			X	

OTHER DOCUMENTS

BN	The Science of Hair Care, Chapter 7, pages 235-261, published as Volume 7 of Dermatology, Marcel Dekker Inc., NY/Basle (1986)
BO	The Science of hair Care, Chapter 8, pages 263-286, published as Volume 7 of Dermatology, Marcel Dekker Inc., NY/Basle (1986)
BP	Umbach, Kosmetik, 2 nd Edition, pages III-XVIII, George Thieme Verlag, Stuttgart, New York (1995)
BQ	Umbach, Kosmetik, 2 nd Edition, pages 287-310, George Thieme Verlag, Stuttgart, New York (1995)
BR	K. Schrader, Grundlgen un Rezepturen der Kosmetika [Bases and Formulations in Cosmetics], 2 nd Edition, pages pages 10-23, Huethig Buch Verlag, Heidelberg, Germany (1989)
BS	K. Schrader, Grundlgen un Rezepturen der Kosmetika [Bases and Formulations in Cosmetics], 2 nd Edition, pages 782-804, Huethig Buch Verlag, Heidelberg, Germany (1989)
BT	EU Inventory of Cosmetic Ingredients, Colipa, March 1996 - on diskette

Examiner:	Date Considered:
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.